



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Gauthier, et al.

Attorney Docket No.: APL1P287/P3158

Application No.: 10/688,213

Examiner: tba

Filed: October 15, 2003

Group: 3624

Title: METHOD AND SYSTEM FOR  
NETWORK-BASED ALLOWANCE CONTROL

**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the Commissioner for Patents, Alexandria, Virginia 22313, on September 18, 2006.

Signed: *Mary Deauclaire*  
Mary Deauclaire

**INFORMATION DISCLOSURE STATEMENT WITH STATEMENT**  
**UNDER 37 CFR §§1.56 AND 1.97**

Commissioner for Patents  
Alexandria, Virginia 22313

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are enclosed, may be material to the examination of the above-identified patent application. These references were cited in a corresponding EP application. A copy of the EP Examiner's Communication pursuant to Article 96(2) EPC, which was dated June 27, 2006, is enclosed herewith. These references are submitted in compliance with the applicant's duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. Accordingly, the Examiner is requested to make these citations official record in this application.

This Information Disclosure Statement is not to be construed as a representation that additional information (material to the examination of this application) does not exist or that the enclosed reference(s) constitute prior art.

**Statement under 37 CFR § 1.97(e)**

The undersigned hereby states that the references enclosed herewith were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))

Accordingly, it is respectfully submitted that no fee is due in conjunction with the filing of this IDS. However, should the Commissioner determine that additional fees are required for filing the present Information Disclosure Statement, the Commissioner is hereby authorized to charge any such fees to our deposit account number 500388 (Order No. APL1P287).

Respectfully submitted,  
BEYER WEAVER & THOMAS, LLP

A handwritten signature in black ink, appearing to read 'Elise R. Heilbrunn', written over the printed name.

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<b>Form 1449 (Modified)</b> <b>Information Disclosure</b> <b>Statement By Applicant</b> (Use Several Sheets if Necessary)	Atty Docket No. APL1P287/P3158	Application No.: 10/688,213
	Applicant: Gauthier, et al. Filing Date October 15, 2003	Group 3624

#### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A						

#### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	B							

#### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	C1	Examiner's Communication pursuant to Article 96(2) EPC dated June 27, 2006, from corresponding European Patent Application No. 04252425.6, METHOD AND SYSTEM FOR NETWORK-BASED ALLOWANCE CONTROL, 8 pp. (APL1P287.EP)
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.